

01-29-01

DOCKET NO: 19603/2501 (CRF D-2375A) **EXPRESS IL NO.: EL709322364US** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## UTILITY PATENT APPLICATION TRANSMITTAL FORM (only for new nonprovisional applications under 37 CFR 1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

**BOX: PATENT APPLICATION** 

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 50 pages) of:

Inventors: Steven V. Beer and David W. Bauer

For : OOMYCETE-RESISTANT TRANSGENIC PLANTS BY VIRTUE OF PATHOGEN-INDUCED EXPRESSION OF A HETEROLOGOUS

	HYPERSENSITIVE RESPONSE ELICITOR		
	a CONTINUING APPLICATION, please mark where appropriate and supply the requisite mation below and in a preliminary amendment:		
	continuation [ ] divisional [ ] Continuation-In-Part (CIP) prior application Serial No		
Pri	or application information: Examiner : Art Unit :		
Encl	osed are:		
[ <b>X</b> ]	5 sheets of Formal drawings.		
[]	Signed Combined Declaration and Power of Attorney ( pages).		
[]	Copy of signed Combined Declaration and Power of Attorney ( pages) from a prior application (1.63(d) (for continuation/divisional).		
[]	<b>Signed</b> statement deleting inventor(s) named in prior application ( pages) (1.63(d)(2) and 1.33(b)).		
[]	<b>Incorporation By Reference</b> : The entire disclosure of the prior application, from which a <b>copy</b> of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.		
[]	Assignment ( pages) of the invention to		
[]	Assignment Transmittal Letter.		
[]	Certified copy of a foreign priority document.		
[]	Associate power of attorney.		
[X]	Applicants claim small entity status. (See 37 CFR 1.27.)		

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page	oages)	(p	Amendment	liminary	] P	
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- [X] Information Disclosure Statement, form PTO-1449 (1 page) and 4 references.
- [X] <u>UNSIGNED</u> Combined Declaration and Power of Attorney (2 pages).
- [X] Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- [X] Sequence Listing (15 pages).
- [X] A self-addressed, prepaid postcard acknowledging receipt.

[ ] Other:

The Filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
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BASIC FEE	XXXXXXX	xxxxxxx
TOTAL CLAIMS	72 - 20 =	52
INDEP CLAIMS	1 - 3 =	0
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

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RATE	FEE
XXXX	\$355
x 9=	\$468
x 40=	\$0
x135 =	\$
TOTAL	\$823
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LARGE ENTITY

<u>OR</u>	RATE	FEE
<u>OR</u>	XXXX	\$710
<u>OR</u>	x 18 =	\$
<u>OR</u>	X80 =	\$
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- [ ] Please charge my Deposit Account No.\_\_\_\_ in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- [X] A check in the amount of \$823.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1138</u>. A duplicate copy of this sheet is enclosed.
- [X] Address all future communications to:

Michael L. Goldman NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603

Date: January 26, 2001

Edwin V. Merkel Registration No. 40,087

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## **EXPRESS MAIL CERTIFICATE**

DOCKET NO .:

19603/2501 (CRF D-2375A)

APPLICANTS:

Steven V. Beer and David W. Bauer

TITLE:

OOMYCETE-RESISTANT TRANSGENIC PLANTS BY VIRTUE OF PATHOGEN-INDUCED EXPRESSION OF A

HETEROLOGOUS HYPERSENSITIVE RESPONSE

**ELICITOR** 

Certificate is attached to the Utility Patent Application Transmittal Form (2 pages) of the above-named application.

EXPRESS MAIL NUMBER:

EL709322364US

DATE OF DEPOSIT:

January 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, BOX: PATENT APPLICATION.

Wendy L. Harrold

(Typed or printed name of person mailing paper or fee)

(Signature of person/mailing paper

or fee)